



BTR Trading Group, Inc.
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November 28, 2011

Dear Clients & Associates,

As of Friday November 28th, the majority Of BTR Trading Group customers received 60% of their cash balances in the latest bulk transfer from the MFG Trustee. These balances have been transferred to your new accounts at RJ O'Brien. They will be made available for trading or withdrawal on December 2nd. You should have received a statement for your account at RJ O'Brien. Please check that statement to ensure that you did indeed receive 60% of your assets. If you did not, please contact us at once.

Please note that this transfer was only for unencumbered cash balances. If your account had open positions, treasury bills or physicals, your assets were not included in this transfer.

ACCESSING THE REMAINDER OF YOUR ASSETS

The Trustee has two procedures by which he is able to return your assets to you: a claims process and a bulk transfer process. The bulk transfer process involves transferring your assets to your new account at RJ O'Brien. The claims process involves you submitting a claim for your assets and the Trustee returning them to you. He has already used the bulk transfer process twice to return assets, including the most recent transfer of 60% of your cash assets. He may use this bulk transfer process again throughout the coming weeks to provide you with a larger percentage of your assets. **However, due to the alleged shortfall in customer funds at MF Global and as it is required by the federal bankruptcy code, a claims process will be required to return a portion of your assets to you. All clients will need to submit a claims form for their assets.**

The window to submit a claim will open on December 2, 2011 and close on January 31, 2012. The Trustee has issued his claims form for commodity customers, [which you may download here](#). You will probably receive this form in the mail as well, but you only need to fill it out once. You may submit the claims form via US mail, but we recommend submitting it electronically. You may do so by emailing the form to MFGlobalClaims@epiqsystems.com.

As many of you know, BTR Trading Group partner John L. Roe co-founded an organization (the [Commodity Customer Coalition or "CCC"](#)) which is advocating on behalf of customers in the bankruptcy process. This group will host a conference call on Thursday December 1st for customers to aid in the explanation of how to file these forms. For conference call dial-in information, [please sign up to be on the CCC email list by clicking here](#).

INITIATING TRADING

If you wish to resume trading, whether on a self-directed, broker assisted, system or managed futures basis, please contact BTR Trading Group so that we can set up trading for your account. Our trading operations will likely resume on Monday December 5, 2011.

ADDING FUNDS TO YOUR ACCOUNT

BTR is in the process of establishing all that is required to facilitate trading operations on an interim basis with FCM R.J. O'Brien. Clients who wish to begin trading immediately can wire funds to their account as follows:

Harris Bank

111 West Monroe Street
Chicago, IL 60606
ABA #071-000-288
R.J. O'Brien Cust Seg Funds
#386-015-2
FFC: Customer Account Name
FFC: Customer Account Number

If you wish to send a check to fund or add funds to your account, please do so as follows:

Make Check Payable to: RJ O'Brien
RJ O'Brien Treasury Department
222 South Riverside Plaza
Suite 900
Chicago, IL 60606

Please check out website frequently for updates and developments regarding the MF Global bankruptcy and the status of your account and its assets. We thank you for your continued patience in this difficult time. Should you have any questions, please do not hesitate to contact us at 800-453-4474 or email info@btrtrading.com.

Regards,

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